



06-05-02 MP

Express Mail No.: EL 451 597 495 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Robert G. Tryon, III, *et al.*

Application No.: 10/043,712

Group Art Unit: 2857

Filed: January 8, 2002

Examiner: Not yet assigned

For: METHOD AND APPARATUS FOR
PREDICTING FAILURE IN A SYSTEM

Attorney Docket No.: 10652-005-999

**RESPONSE TO NOTICE TO FILE MISSING PARTS
OF NONPROVISIONAL APPLICATION**

BOX: Missing Parts

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application mailed by the U.S. Patent and Trademark Office on April 2, 2002, Applicants submit the following documents to complete the filing for the above-identified application:

1. A Declaration for Nonprovisional Patent Application signed by the inventors;
2. Substitute Drawings in compliance with 37 C.F.R. 1.84; and
3. Part 2 - Notice to File Missing Parts of Nonprovisional Application.

The U.S. Patent and Trademark Office is hereby authorized to charge the following fees to Pennie & Edmonds LLP Deposit Account No. 16-1150:

1. Surcharge fee for filing declaration
on a date later than the filing date
of the application. **\$ 65.00**

TOTAL **\$ 65.00**

The Commissioner is hereby authorized to charge any additional fees associated with this paper communication or credit any overpayment to Pennie & Edmonds LLP Deposit Account No. 16-1150. A copy of this sheet is enclosed for accounting purposes.

The documents submitted complete the filing of the above patent application and justify the filing date of January 8, 2002.

Respectfully submitted,


Francis E. Morris 24,615
Francis E. Morris (Reg. No.)
PENNIE & EDMONDS LLP
3300 Hillview Avenue
Palo Alto, California 94304
(650) 493-4935

Enclosures



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D C 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/043,712	01/08/2002	Robert G. Tryon III	10652-005-999

24341
Pennie & Edmonds, LLP
3300 Hillview Avenue
Palo Alto, CA 94304

CONFIRMATION NO. 6460
FORMALITIES LETTER

OC000000007768012

Date Mailed: 04/02/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**FILED UNDER 37 CFR 1.53(b)*****Filing Date Granted***

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1 5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

06/07/2002 JBALINAN 00000082 161150 10043712

01 FC:205 65.00 CH

A copy of this notice MUST be returned with the reply.

Customer Service Center



Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE

